



Export Control Awareness and Your Research Award

The United States is committed to encouraging technology exchanges that are consistent with U.S. national security and nuclear nonproliferation objectives. Although most of the research and technology development at the University of North Carolina at Charlotte is exempt from United States export control regulations, we must still consider the regulations and comply with them when applicable.

An export can occur through a variety of means, including

- shipping,
- oral communications,
- written documentation (including emails), and
- visual inspections of any technology, software, or technical data to any non-U.S. citizen, whether in the United States or abroad.

If you are performing fundamental research and the results of the research will be in the public domain, you probably will not have any export control issues unless you have foreign national(s) working with **controlled** proprietary technology in conjunction with your research project. More information can be found on the Commerce Control List at [Export Administration Regulations](#) or the U.S. Munitions List at [International Traffic in Arms Regulations](#).

You may also be working on a project that has controlled proprietary technology or the government has placed access controls on the technology, but you do not have foreign nationals involved in your research. In this situation, you must have a technology control plan (TCP) in place that limits access to only U.S. citizens and foreign nationals with a green card. The TCP template can be found on the [Export Control website](#).

If you are traveling with items (including your laptop) or shipping them outside the U.S. in conjunction with your project, there are export control issues to consider, **and a license could be required. In some cases, an exception or exemption to the license requirements is available; however, regulations require the exception/exemption to be documented, and records must be retained for five years. The certification form for Traveling With University-Owned Equipment can be found on the [Export Control website](#).** In addition, note that a Material Transfer Agreement may be needed. Contact the [Office of Research Commercialization and Development](#) at 704-687-8012 or by email at invent@uncc.edu.

More information about export controls related to research, travel, and shipping can be found on the [Export Control website](#). If you have questions about export controls related to your project or if you need to implement the required documentation, contact the Export Control Officer in the Office of Research Protections and Integrity (ORPI) at 704-687-1877 or by email at exportcontrols@uncc.edu.